

# PLATFORM INVESTMENT DIRECTIVE



<b>1. NDTCO ACCOUNT INFORMATION</b>				
Account Holder Name:		New Direction Account Number:		
<b>2. ASSET INFORMATION</b>				
Platform Name:		Amount to Fund:		
<b>OUTGOING MOVEMENT OF FUNDS OPTIONS</b>				
<b>Send Funds via:</b>		<b>Payee Information</b>		
<input type="radio"/> Check	Payee Name:		Payee Telephone Number:	
<input type="radio"/> ACH	Address:		City:	State:      ZIP:
<input type="radio"/> Cashier's Check	Bank Name (Wires/ACH only):		Account Name (Wires/ACH only):	
<input type="radio"/> Wire	Account Number (Wires/ACH only):		Routing Number (Wires/ACH only):	
<b>3. FEE PAYMENT OPTIONS</b>				
<b>Pay fees via:</b>		<b>Credit Card Information (NDTCO accepts Visa, MasterCard, Discover and American Express)</b>		
<input type="radio"/> Credit Card	Card Number:		3-digit Security Code:	
<input type="radio"/> My Account	Exact Name on Card:		Expiration Date:	
<b>4. AUTHORIZATION</b>				
<p>I confirm that I am directing New Direction Trust Company (NDTCO), the Custodian, to complete this transaction as specified above. I understand that my account is self-directed, and I take complete responsibility for any investment I choose for my account, including the investment specified in this Form. I understand that the Custodian does not sell or endorse any investment products, and that the Custodian is not affiliated in any way with any investment provider. I understand that the role of the Custodian is limited, and its responsibilities do not include investment selection for my account. I certify that I have done my own due diligence investigation prior to instructing the Custodian to make this investment for my account. I understand that acceptance of Custodial Assets by NDTCO should not be construed as a favorable opinion as to the prudence or suitability of the investment for the Account Holder's IRA. NDTCO's review of any asset the Account Holder desires to purchase and hold in their Custodial Account should in no way be construed as a "due diligence" review. I understand that the Custodian does not determine whether this investment is acceptable under the Employee Retirement Income Securities Act (ERISA), the Internal Revenue Code (IRC), or any applicable federal, state, or local laws, including securities laws. I understand that it is my responsibility to review any investments to ensure compliance with these requirements. I acknowledge that the Custodian has not provided or assumed responsibility for any tax, legal or investment advice with respect to this investment, and I agree that Custodian will not be liable for any loss which results from my decision to purchase the investment. I understand that in processing this transaction the Custodian is only acting as my agent, and nothing will be construed as conferring fiduciary status on the Custodian. I agree that the Custodian will not be liable for any investment losses sustained by me or my account as a result of this transaction. I agree to indemnify and hold harmless the Custodian from any and all claims, damages, liability, actions, costs, expenses (including reasonable attorneys' fees) and any loss to my account as a result of any action taken in connection with this investment transaction or resulting from serving as the Custodian for this investment, including, without limitation, claims, damages, liability, actions and losses asserted by me.</p> <p>I understand that if this Form and any accompanying documentation are not received as required, or, if received, are unclear in the opinion of the Custodian, or if there are insufficient undirected funds in my account to fully comply with my instructions to purchase the investment and to pay all fees, the Custodian may not process this transaction until proper documentation and/or clarification is received, and the Custodian will have no liability for loss of income or appreciation.</p> <p>I understand that all communication regarding this transaction must be in writing and must be signed by me or by my authorized agent on my behalf, and that no oral modification of my instructions will be valid. I understand that no person at the office of the Custodian has the authority to modify any of the foregoing provisions. I certify that I have examined this Form and any accompanying documents or information, and to the best of my knowledge and belief, it is all true, correct and complete.</p> <p>I understand transactions with insufficient funds will not be processed until sufficient funds are received. If fees are being deducted from my account, the full amount of the transaction plus fees must be available before the transaction can be processed.</p>				

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## PLATFORM INVESTMENT DISCLOSURES

I authorize New Direction Trust Company to disburse the amount above for the purchase of Custodial Assets within the Unaffiliated Third-Party ecosystem or platform. This authorization for disbursement will remain valid for 90 days or until cancelled in writing by me.

I understand that I may act within the Unaffiliated Third-Party ecosystem or platform to initiate any; purchases, liquidations, or reinvestments in additional custodial assets offered by the UTP without the necessity of involving NDTCO in its role as Custodian. I understand that, from time to time, NDTCO shall receive any resulting; return of capital, proceeds, or undirected cash into my Custodial Account from the Unaffiliated Third-Party without receiving direction from me as the Account Holder to do so at the time of receipt. I understand that should I exercise any options to reinvest any benefit gained in Unaffiliated Third-Party's offerings any additional Custodial Asset(s) that I may receive as a result of said exercised option must be titled as: New Direction Trust Company, Trustee For the Benefit of (Account Holder) (Account Type) and will be automatically held by NDTCO as a Custodial Asset in my IRA without direction by from me as the Account Holder.

I understand and agree that New Direction Trust Company (NDTCO), as my Custodian, shall have unlimited and continuous access to any and all Custodial Assets located within the Unaffiliated Third-Party platform or ecosystem.

I understand and agree that cash from my IRA shall be the sole source of capital used by me to purchase custodial assets located within the Unaffiliated Third-Party platform or ecosystem. I understand and agree that any resulting return of undirected funds, proceeds or capital based on my custodial assets performance with the Unaffiliated Third-Party must be returned directly to my Custodial Account at NDTCO, unless I have authorized reinvestment of those funds into additional Custodial Assets offered within the same Unaffiliated Third-Party ecosystem or platform.

I understand and agree that I may, at my election, use personal funds to purchase personal assets located in the Unaffiliated Third-Party ecosystem or platform. I understand and agree that any assets purchased by using said personal funds must be kept separate and distinct from any custodial assets I may have purchased by using funds from my IRA.

I understand and agree, as the account holder, that Unaffiliated Third-Party listed in Item 2 of this Investment Directive is in no way an; employee, agent, contractor, subcontractor, partner, or in any other way affiliated with NDTCO.

## 5. SIGNATURE

Account Holder Signature:	Date:
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