

# FEE SCHEDULE

ACCOUNT OPENING	
Online -----	\$30
Paper or Electronically Signed Applications -----	\$75

## ANNUAL ADMINISTRATION FEES

<b>Precious Metals</b>	
Below \$100,000 Asset Value (AV) -----	\$130 Flat Rate
\$100,000 AV and Above-----	\$180 Flat Rate
Online Trading -----	\$150 Flat Rate
Publicly Traded Securities -----	\$115 Flat Rate
Discount Brokerage Account (please contact NDTCO for list) -----	\$115 Flat Rate

## OTHER PRIVATE ASSETS (CHOOSE OPTION IF APPLICABLE)

<input type="checkbox"/> <b>Option 1: Quantity Based</b> (Assessed and billed annually)	LLCs, Notes, Real Estate, Private Equity and other Private Assets ----- \$315 Per Asset Mortgage on Real Estate ----- \$215 Per Asset																																	
<input type="checkbox"/> <b>Option 2: Value Based</b> Assessed on the total market value of LLCs, Notes, Real Estate, Private Equity and other Private Assets in the account. Billed semi-annually (twice per year)	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: center;">Invested Market Value</th> <th style="text-align: center;">Semi-Annual Administration</th> <th style="text-align: center;">Total Annual Administration</th> </tr> </thead> <tbody> <tr> <td style="text-align: center;">\$0 - \$14,999.99</td> <td style="text-align: center;">\$107.50</td> <td style="text-align: center;">\$215</td> </tr> <tr> <td style="text-align: center;">\$15,000 - \$29,999.99</td> <td style="text-align: center;">\$135</td> <td style="text-align: center;">\$270</td> </tr> <tr> <td style="text-align: center;">\$30,000 - \$44,999.99</td> <td style="text-align: center;">\$172.50</td> <td style="text-align: center;">\$345</td> </tr> <tr> <td style="text-align: center;">\$45,000 - \$59,999.99</td> <td style="text-align: center;">\$197.50</td> <td style="text-align: center;">\$395</td> </tr> <tr> <td style="text-align: center;">\$60,000 - \$89,999.99</td> <td style="text-align: center;">\$235</td> <td style="text-align: center;">\$470</td> </tr> <tr> <td style="text-align: center;">\$90,000 - \$124,999.99</td> <td style="text-align: center;">\$272.50</td> <td style="text-align: center;">\$545</td> </tr> <tr> <td style="text-align: center;">\$125,000 - \$249,999.99</td> <td style="text-align: center;">\$335</td> <td style="text-align: center;">\$670</td> </tr> <tr> <td style="text-align: center;">\$250,000 - \$499,999.99</td> <td style="text-align: center;">\$397.50</td> <td style="text-align: center;">\$795</td> </tr> <tr> <td style="text-align: center;">\$500,000 - \$749,999.99</td> <td style="text-align: center;">\$760</td> <td style="text-align: center;">\$1520</td> </tr> <tr> <td style="text-align: center;">\$750,000 and up</td> <td style="text-align: center;">\$935</td> <td style="text-align: center;">\$1870</td> </tr> </tbody> </table>	Invested Market Value	Semi-Annual Administration	Total Annual Administration	\$0 - \$14,999.99	\$107.50	\$215	\$15,000 - \$29,999.99	\$135	\$270	\$30,000 - \$44,999.99	\$172.50	\$345	\$45,000 - \$59,999.99	\$197.50	\$395	\$60,000 - \$89,999.99	\$235	\$470	\$90,000 - \$124,999.99	\$272.50	\$545	\$125,000 - \$249,999.99	\$335	\$670	\$250,000 - \$499,999.99	\$397.50	\$795	\$500,000 - \$749,999.99	\$760	\$1520	\$750,000 and up	\$935	\$1870
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If no selection is made, you will be defaulted to Option 1: Quantity Based.

## INVESTMENT SPECIFIC PROCESSING FEES

LLCS, NOTES, PRIVATE EQUITY AND OTHER PRIVATE ASSETS	REAL ESTATE
Purchase, Full Sale or Exchange ----- \$95	Purchase, Sale or Exchange ----- \$250
Express Processing ----- \$250	Debt Leveraged ----- \$345
Capital Calls, Note Modifications, Conversions, Additional Funding, Reregistration or Partial Sale ----- \$50	Express Processing ----- \$500

BASIC PRECIOUS METALS	NON-BASIC PRECIOUS METALS
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Purchase, Sale, or Reregistration -- \$50    Exchange ---- \$70 Basic Precious Metals Investments Include: <ul style="list-style-type: none"> <li>Bars (any weight: Gold, Silver, Platinum, Palladium)</li> <li>American Eagle Coins (any weight: Gold, Silver, Platinum, Palladium)</li> <li>Canadian Maple Leaf Coins (1 ounce: Gold, Silver)</li> <li>American Buffalo Coins (1 ounce: Gold, Silver)</li> <li>Australian Kangaroo/Kookaburra Coins (1 ounce: Gold, Silver, Platinum)</li> <li>Austrian Philharmonic Coins (1 ounce: Gold, Silver)</li> <li>Britannia Coins (1 ounce: Gold, Silver, Platinum)</li> <li>South African Krugerrands (1 ounce: Silver)</li> <li>Buffalo Rounds (1 ounce: Silver)</li> </ul>	Purchase, Sale, Exchange or Reregistration ----- \$160 *Non-Basic Precious Metals Investments Can Include: <ul style="list-style-type: none"> <li>Specialty Series Coins</li> <li>Commemorative Coins</li> <li>Unrecognized Brand Coins</li> </ul> *List is not representative of all non-basic metals that can be held. Any precious metals items not included on the Basic Metals list are considered "Non-Basic".
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# FEE SCHEDULE

## INVESTMENT SPECIFIC PROCESSING FEES (CONTINUED)

PUBLICLY TRADED SECURITIES		PRECIOUS METALS ONLINE TRADING	
Brokerage Account Funding or Liquidation -----	\$25	Initial Funding of Purchase -----	\$95
Mutual Fund Trade Fee -----	\$5 / Trade	Additional Funding -----	\$35
Trade Fee (All Other Public Equity) -----	\$10	Sale of Asset -----	\$35
Transfer Agent Commission (1-150 shares) -----	\$2.50		
Transfer Agent Commission (151+ shares) -----	\$0.03 / Share		
	\$2.50 Minimum, Maximum of \$150		

## GENERAL

OUTGOING MOVEMENT OF FUNDS	TRANSFERS OUT AND DISTRIBUTIONS
Due when funds leave your account:	Partial ----- .5% of value
ACH ----- \$5	No Minimum, Maximum of \$250
Check ----- \$5	Full ----- .5% of value
Cashier's or Official Bank Check ----- \$25	\$75 Minimum, Maximum of \$250
Wire ----- \$30	In-Kind Asset Reregistration ----- \$50
International Wire ----- \$40	
Overnight Mail ----- \$30	
Returned Item or Stop Payment Request ----- \$30	
Void Check ----- \$10	
	Transfers out and distributions carry the charges above plus applicable movement of funds fees. Required Minimum Distributions (RMDs) are only charged applicable movement of funds fees and in-kind asset re-registration fees.

## MISCELLANEOUS

401(k) Plan Document Rental -----	\$300 / Year
Roth Conversion -----	\$75
Paper Statements -----	\$10
Late Fee -----	\$25 / Month
Special Services -----	\$150 / Hour
Forwarded Mail -----	\$10
Online Bill Pay -----	\$0
Payment Authorization Letter -----	\$10

## DISCLOSURES

In accordance with the Plan Agreement and Disclosure, the custodial fees described herein are incorporated into the Account Holder Plan Agreement.

Annual record keeping fees are not prorated and unless payment for this fee is submitted directly to New Direction Trust Company (NDTCO) prior to the fee(s) due date, any unpaid fee(s) will be withdrawn from the undirected funds (to be defined as any cash in the Custodial Account not invested pursuant to a specific investment direction by you). All transaction related fee(s) are due prior to funding the transaction. All fee(s) paid from your account will be reflected on your statement. If there are insufficient undirected funds in the Account Holders custodial account, NDTCO, after 30-day written notification to the Account Holder, may liquidate other custodial assets held in Account Holders account to pay for any unpaid fee(s).

NDTCO shall be entitled to receive, from the custodial assets held in the Custodial Account, a fee equal in amount to all income that is generated from any undirected cash which is held by the Custodian in a deposit or product of an FDIC – or other United States government-insured financial institution, United States government securities, or securities that are insured or guaranteed by the United States government. NDTCO's fees from the undirected funds in the Custodial Account are associated with cash management activities including but not limited to, account maintenance, depository bank selection, transaction processing, sub-accounting, record keeping, and other services performed under the terms of the Plan Agreement. NDTCO retains the right, but does not have the obligation, to reduce this fee by rebating a portion of the fee into the Custodial Account. You as the Depositor agree that this fee may be retained by the NDTCO as compensation for the services provided by NDTCO under the Plan Agreement. NDTCO reserves the right to change all or part of the Custodial Fee Schedule at its discretion with 30 days advance written notice to Depositor.

If any fee(s) not paid within thirty (30) days after NDTCO has provided written past due notice to the Account Holder, NDTCO may begin the process of closing the account. Any asset distributed directly to the Account Holder as a result of the account closing will be reported to the IRS on Form 1099 and may subject the Account Holder to possible taxes and penalties. Accounts with past due fees, unfunded accounts, and accounts with zero value, will continue to incur administrative fees until such time as the Account Holder notifies NDTCO of intent to close the account or until NDTCO resigns as Custodian.

# FEE SCHEDULE

## DISCLOSURES (CONTINUED)

**Annual Administration:** Mortgages used to purchase real estate are considered a separate custodial asset and will be billed at the \$215 rate. Annual fees which are identified as “Semi-Annual” are charged when the account purchases a custodial asset but will be charged on a semi-annual basis thereafter. For real estate with a mortgage, the custodial account’s total value will be calculated based on the full value of the property including the mortgage.

**Asset Specific Administration:** Certain assets have specialized fee structures, such as Precious Metals and Sub-Brokerage investments. These Administration Fees differ from standard fees and are calculated separately from LLCs, Notes, Real Estate, Private Equity and other Private Assets.

**Basic and Non-Basic Precious Metals Depository Storage:** NDTCO does not select the depository for the storage of your account’s precious metals investments. You, as the Account Holder, will need to select a storage provider. Storage fees are determined by the depository and billed to your NDTCO account.

**Late Fees:** Assessed thirty (30) days after the fee due date with a new late fee assessed every thirty (30) days thereafter until payment in full of all past due fee(s) are received by NDTCO. Unpaid fee(s) may result in NDTCO beginning the process of closing the custodial account and distributing assets held directly to the Account Holder at its current market value.

**Special Services:** Charged on an hourly basis. Special services include, but are not limited to, account research, legal research, expedited investment review, and additional processing time for complex transactions. NDTCO will notify the Account Holder that such fees may be assessed before assessing them to the Account Holder. Forced Liquidation of assets by NDTCO to cover unpaid fees by the account holder is considered a special service and will result in a \$150 fee per liquidation.

**Forwarded Mail:** Any asset-related invoices received by NDTCO will be forwarded to the Account Holder for online processing. Upon forwarding of the invoice, a ten dollar (\$10) forwarded mail fee will be assessed to the Account Holder on a per invoice basis. To avoid this fee charge, you can change the mailing address of the bill to your home address.

**Online Bill Pay:** All bill pay requests submitted through our online bill pay portal will be free of charge to our Account Holders.

**Payment Authorization Letters:** Payment Authorization Letters can be submitted to NDTCO at any time. All requests for payments made by submitting a Payment Authorization Letter will be assessed a (\$10) Payment Authorization Letter Fee per Authorization Letter submitted.

## NDTCO ACCOUNT INFORMATION

Account Holder Name:

New Direction Account Number: (if known)

## SIGNATURE

By signing this document, I acknowledge that I have read and understand the above and elect to have my annual administration fees assessed via the option selected above.

Account Holder Signature:

Date: