

BUY DIRECTION LETTER

PUBLICLY TRADED SECURITIES



1. NDTCO ACCOUNT INFORMATION

Account Holder Name: John Doe	New Direction Account Number: 1000000
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2. ASSET INFORMATION

Name of Security	Security Type	Ticker Symbol or CUSIP	Dollar Amount to Invest
Fake Company Corp.	Preferred Stock	XXXXXXXXXXX	\$10,000
Approximate Total of Investment:			\$10,000

All security orders are placed as Market Orders. A Market Order is used to buy or sell a security promptly at the best available price. A Market Order typically increases the likelihood of a fill and the speed of execution, yet, the last traded price is not necessarily the price at which your order will be executed. In fast-moving or illiquid markets, the price at which an order is executed could be significantly lower or higher than the last traded price, especially during periods of high market volatility for securities with volatile trading prices. NDTCO will place your order up to the dollar amount listed on this form, rounded down to the nearest possible price.

3. FEE PAYMENT OPTIONS

Pay transaction fees via:	Credit Card Information (NDTCO accepts Visa, MasterCard, Discover and American Express)	
<input type="radio"/> Credit Card	Card Number:	3-digit Security Code:
<input checked="" type="radio"/> My Account	Exact Name on Card:	Expiration Date:

4. AUTHORIZATION

I confirm that I am directing New Direction Trust Company (NDTCO), the Custodian, to complete this transaction as specified above. I understand that my account is self-directed, and I take complete responsibility for any investment I choose for my account, including the investment specified in this Form. I understand that the Custodian does not sell or endorse any investment products, and that the Custodian is not affiliated in any way with any investment provider. I understand that the role of the Custodian is limited, and its responsibilities do not include investment selection for my account. I certify that I have done my own due diligence investigation prior to instructing the Custodian to make this investment for my account. I understand that acceptance of Custodial Assets by NDTCO should not be construed as a favorable opinion as to the prudence or suitability of the investment for the Account Holder's IRA. NDTCO's review of any asset the Account Holder desires to purchase and hold in their Custodial Account should in no way be construed as a "due diligence" review. I understand that the Custodian does not determine whether this investment is acceptable under the Employee Retirement Income Securities Act (ERISA), the Internal Revenue Code (IRC), or any applicable federal, state, or local laws, including securities laws. I understand that it is my responsibility to review any investments to ensure compliance with these requirements. I acknowledge that the Custodian has not provided or assumed responsibility for any tax, legal or investment advice with respect to this investment, and I agree that Custodian will not be liable for any loss which results from my decision to purchase the investment. I understand that in processing this transaction the Custodian is only acting as my agent, and nothing will be construed as conferring fiduciary status on the Custodian. I agree that the Custodian will not be liable for any investment losses sustained by me or my account as a result of this transaction. I agree to indemnify and hold harmless the Custodian from any and all claims, damages, liability, actions, costs, expenses (including reasonable attorneys' fees) and any loss to my account as a result of any action taken in connection with this investment transaction or resulting from serving as the Custodian for this investment, including, without limitation, claims, damages, liability, actions and losses asserted by me.

I understand that if this Form and any accompanying documentation are not received as required, or, if received, are unclear in the opinion of the Custodian, or if there are insufficient undirected funds in my account to fully comply with my instructions to purchase the investment and to pay all fees, the Custodian may not process this transaction until proper documentation and/or clarification is received, and the Custodian will have no liability for loss of income or appreciation.

I understand that all communication regarding this transaction must be in writing and must be signed by me or by my authorized agent on my behalf, and that no oral modification of my instructions will be valid. I understand that no person at the office of the Custodian has the authority to modify any of the foregoing provisions. I certify that I have examined this Form and any accompanying documents or information, and to the best of my knowledge and belief, it is all true, correct and complete.

I understand transactions with insufficient funds will not be processed until sufficient funds are received. If fees are being deducted from my account, the full amount of the transaction plus fees must be available before the transaction can be processed.

5. SIGNATURE

Account Holder Signature: John Doe	Date: 3/04/2020
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